

Claim Lodged Under Guarantee Issued User Guide
**Oracle Banking Trade Finance Process
Management**

Release 14.7.0.0.0

Part No. F73628-01

November 2022

Oracle Banking Trade Finance Process Management - Claim Lodged Under Guarantee Issued User Guide
Oracle Financial Services Software Limited

Oracle Park
Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India
Worldwide Inquiries:
Phone: +91 22 6718 3000
Fax: +91 22 6718 3001
www.oracle.com/financialservices/

Copyright © 2018-2022, Oracle and/or its affiliates. All rights reserved.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

U.S. GOVERNMENT END USERS: Oracle programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, delivered to U.S. Government end users are “commercial computer software” pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, use, duplication, disclosure, modification, and adaptation of the programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, shall be subject to license terms and license restrictions applicable to the programs. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate failsafe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

This software or hardware and documentation may provide access to or information on content, products and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services.

Contents

| | |
|--|-----------|
| Oracle Banking Trade Finance Process Management | 1 |
| Overview..... | 1 |
| Benefits..... | 1 |
| Key Features | 1 |
| Claim Lodged Under Guarantee Issued | 2 |
| Common Initiation Stage..... | 2 |
| Registration..... | 3 |
| Application Details | 5 |
| Guarantee Details..... | 6 |
| Miscellaneous..... | 8 |
| Document Linkage..... | 9 |
| Scrutiny | 13 |
| Main Details | 15 |
| Claim Details | 21 |
| Document Details | 25 |
| Additional Fields | 28 |
| Advices | 30 |
| Additional Details..... | 33 |
| Summary | 43 |
| Data Enrichment | 46 |
| Main Details..... | 48 |
| Claim Details | 48 |
| Document Details | 48 |
| Additional Fields | 49 |
| Advices | 50 |
| Refer to Advices | 50 |
| Additional Details..... | 50 |
| Preview Message | 52 |
| Settlement Details | 54 |
| Summary | 56 |
| Multi Level Approval..... | 58 |
| Re-Key Authorization..... | 59 |
| Reference and Feedback | 63 |
| References..... | 63 |
| Documentation Accessibility..... | 63 |
| Feedback and Support..... | 63 |

Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction.

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Claim Lodged Under Guarantee Issued

As part of Lodge Claim - Guarantee Issued process, the applicant can lodge a claim against the Guarantee/SBLC issued.

The various scenarios to lodge the complaint against the guarantee issued:

- Claim received from beneficiary directly at Issuing Bank
- Claim received from the Counter Issuing Bank (CIB) at Counter - Counter Issuing Bank (enable SWIFT STP)
- Claim received from LIB at CIB (enable SWIFT STP)
- Claim received from advising bank/ATB at ISB (enable SWIFT STP)
- Claim received from beneficiary bank through a swift message at ISB (enable SWIFT STP)
- Claim received at the LIB to be claimed with CIB-Enable SWIFT STP
- Claim received from LIB at CIB to be claimed from CCIB- Enable SWIFT STP

In the subsequent sections, let's look at the details for Lodge Claim - Guarantee Issuance process:

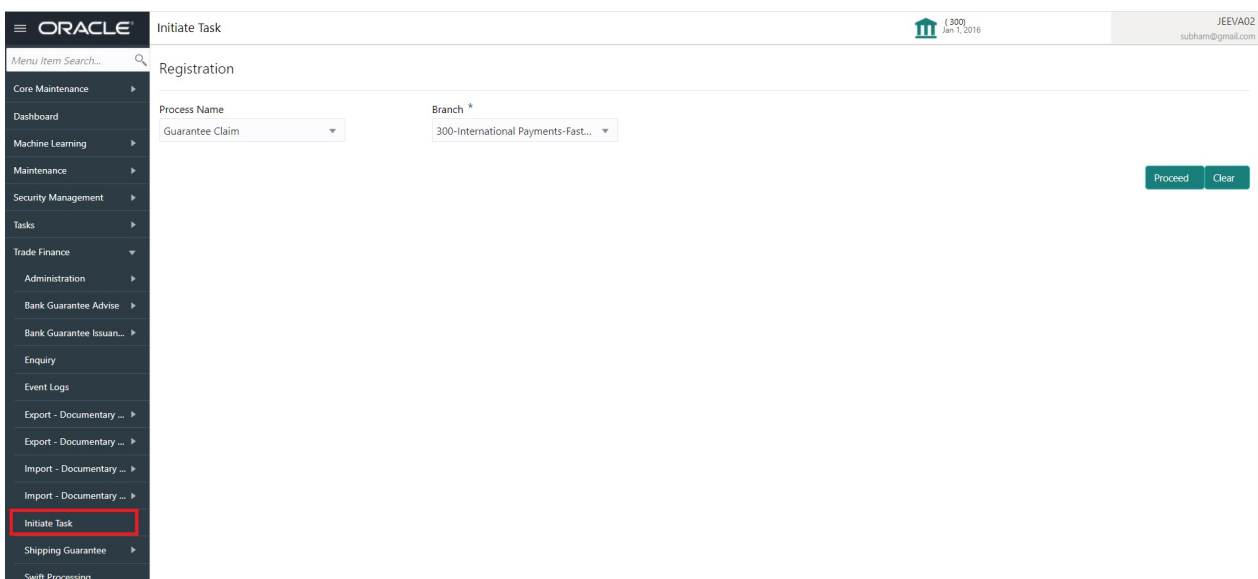
This section contains the following topics:

| | |
|---|---------------------------------|
| Common Initiation Stage | Registration |
| Scrutiny | Data Enrichment |
| Multi Level Approval | |

Common Initiation Stage

The user can initiate the new claim under Guarantee Issued request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

| Field | Description |
|--------------|---|
| Process Name | Select the process name to initiate the task. |
| Branch | Select the branch. |

Action Buttons

Use action buttons based on the description in the following table:

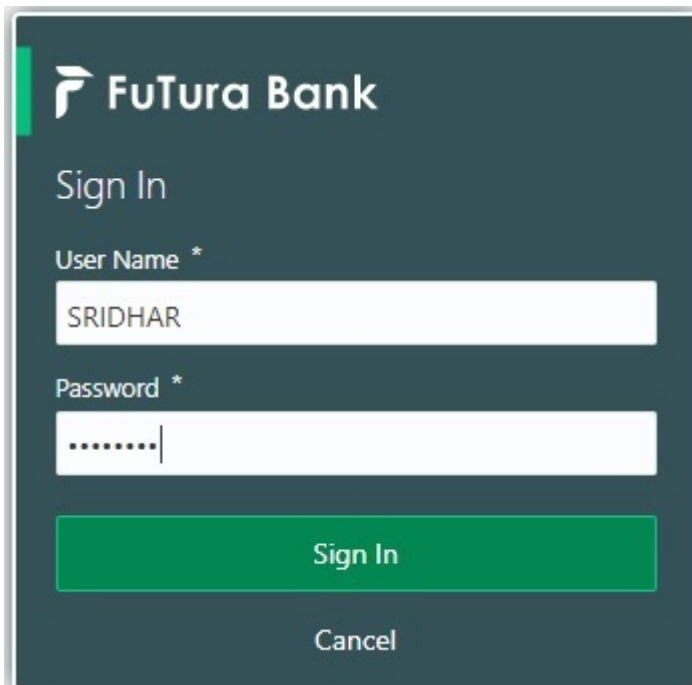
| Field | Description |
|---------|--|
| Proceed | Task will get initiated to next logical stage. |
| Clear | The user can clear the contents update and can input values again. |

Registration

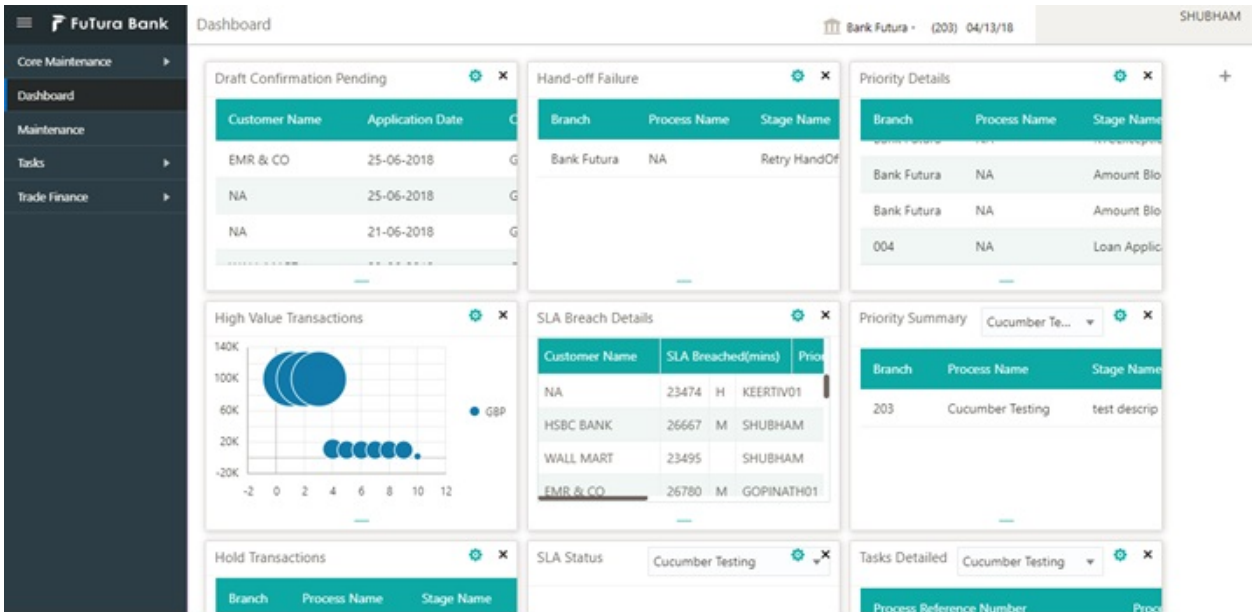
During the Registration stage, the user can register a claim request against the Guarantee/SBLC issued.

The user can capture the basic details of the application, check the signature of the applicant and upload the related documents of the applicant.

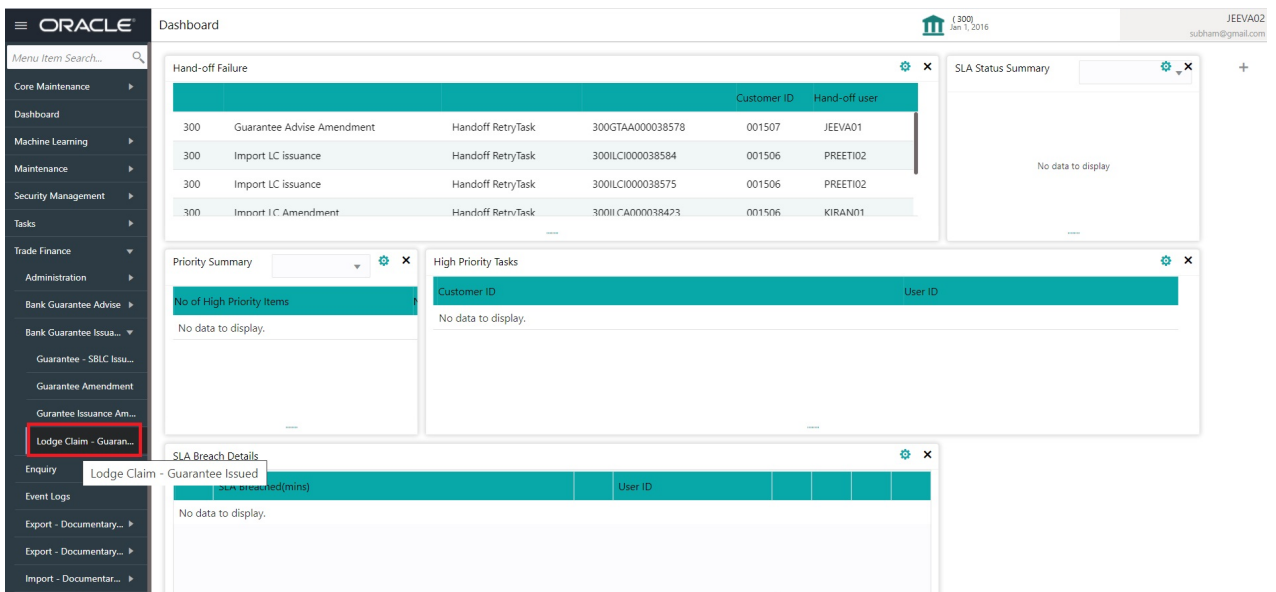
1. Using the entitled login credentials for registration stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Bank Guarantee Issuance > Lodge Claim - Guarantee Issued.




The Registration stage has two sections Application Details and Guarantee Details. Let's look at the registration screens below:

Application Details

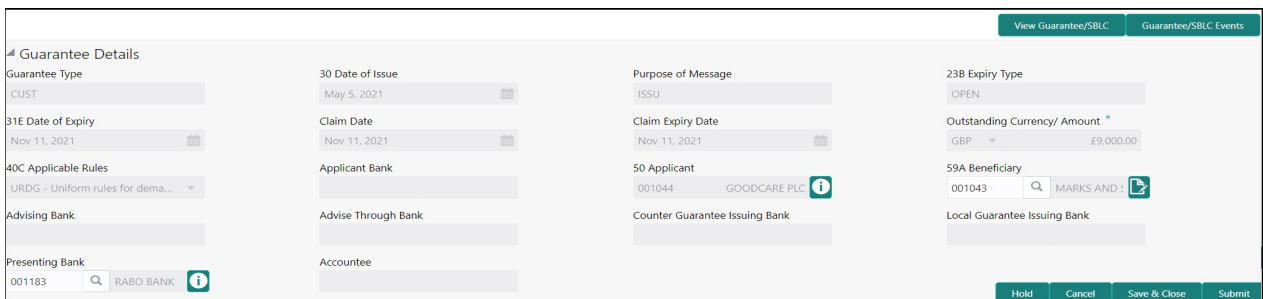
Provide the Application Details based on the description in the following table:

| Field | Description | Sample Values |
|--------------------------|--|-----------------------------|
| Undertaking Number | User can enter the undertaking number. The user can also search the undertaking number through LOV search. | |
| Customer ID/ Name | Read only field. System defaults the Customer ID/ Name from Guarantee/ SBLC Issuance. | 001345 |
| Branch | Customer's home branch will be displayed. Read only field. System defaults the home branch from Guarantee/ SBLC Issuance. | 203-Bank Futura -Branch FZ1 |
| Claim Serial Number | Read only field. System defaults the claim serial number from Guarantee/ SBLC Issuance. This should be the latest claim number available in back-end system +1. | |
| Process Reference Number | Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code. | 203GTEISS000 001134 |
| Priority | Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted. | High |

| Field | Description | Sample Values |
|------------------------------|--|---------------|
| Submission Mode | Select the submission mode of Guarantee Issuance request. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk Fax - Request received through Fax Email - Request received through Email | Desk |
| Claim Lodgement Date | By default, the application will display branch's current date. Read only field.  Note Future date and back date selection is not allowed. | 04/13/2018 |
| Beneficiary Reference Number | User can enter the 'Beneficiary Reference number' if available. | |

Guarantee Details


Registration user can provide Guarantee details in this section. Alternately, guarantee details can be provided by Scrutiny user.



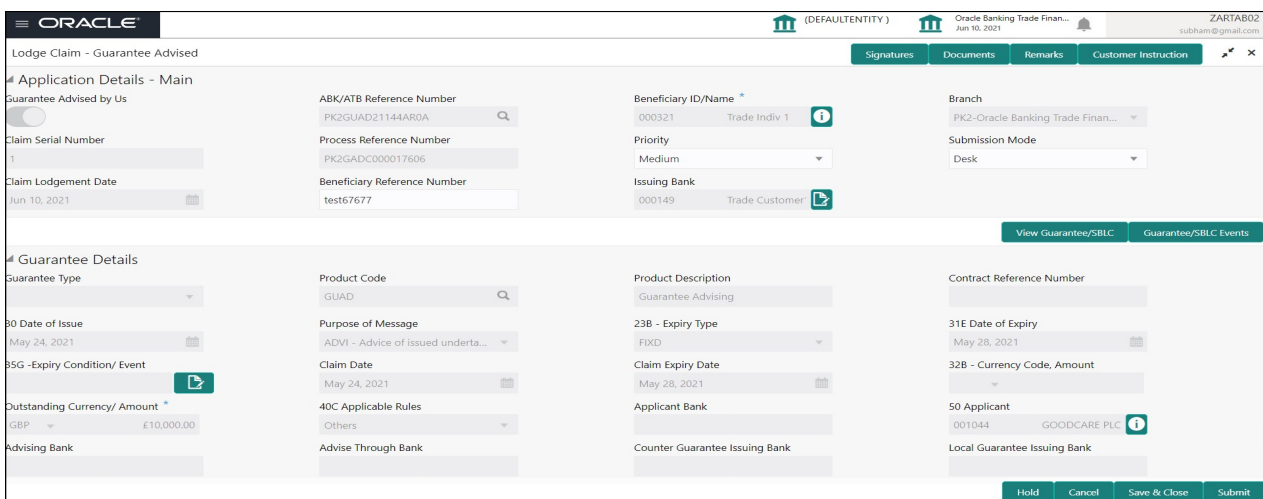
Provide the Guarantee Details based on the description in the following table:

| Field | Description | Sample Values |
|--------------------|---|---------------|
| Guarantee Type | Read only field. System defaults the value from Guarantee/ SBLC Issuance. | ADVP |
| Date of Issue | Read only field. System defaults the value from Guarantee/ SBLC Issuance. | 04/13/18 |
| Purpose of message | Read only field. System defaults the purpose of message from Guarantee/ SBLC Issuance. | |

| Field | Description | Sample Values |
|--------------------------------|--|--|
| Expiry Type | This field indicates whether undertaking has specified expiry date or is open-ended. System defaults the expiry type from Guarantee/ SBLC Issuance. | |
| Date Of Expiry | Expiry date of the Guarantee Issuance. System defaults the expiry date from Guarantee/ SBLC Issuance. | 09/30/18 |
| Claim Date | System defaults the claim date from Guarantee/ SBLC Issuance. | 04/13/2018 |
| Claim Expiry Date | System defaults the claim expiry date from Guarantee/ SBLC Issuance. | 04/13/2018 |
| Outstanding Currency/ Amount | System defaults the outstanding currency and amount from Guarantee/ SBLC Issuance. | |
| Applicable Rules | Rules for Guarantee. Read only field. System defaults the value from Guarantee/ SBLC Issuance. | URDG - Uniform rules for demand guarantees |
| Applicant Bank | Read only field. System defaults the applicant bank details from Guarantee/ SBLC Issuance. | 001345 Nestle |
| Applicant | Read only field. System defaults the applicant from Guarantee/ SBLC Issuance. | 001345 Nestle |
| Beneficiary | Read only field. System defaults the beneficiary from Guarantee/ SBLC Issuance. User can modify the beneficiary if required. | 001345 Nestle |
| Advising Bank | Read only field. System defaults the advising bank if available. | 001343 - Bank Of America |
| Advising Through Bank | Read only field. System defaults the advising through bank if available. | Advising Bank Reference |
| Counter Guarantee Issuing Bank | Read only field. System defaults the counter guarantee issuing through bank if available. | |
| Local Guarantee Issuing Bank | Read only field. System defaults the local guarantee issuing bank if available. | |

| Field | Description | Sample Values |
|-----------------|---|---------------|
| Presenting Bank | User can select the presenting bank reference if available.  Note Currently this field is not available in OBTF. | |
| Accountee | Read only field. System defaults the accountee name if available. | |

Miscellaneous



The screenshot displays the Oracle Banking Trade Finance interface for a 'Lodge Claim - Guarantee Advised' transaction. The application is titled 'Oracle Banking Trade Finan...' and shows the user 'ZARTAB02' on 'Jun 10, 2021'. The interface is divided into several sections:

- Application Details - Main:** Includes fields for ABK/ATB Reference Number (PK2GUAD21144AR0A), Process Reference Number (PK2GADC000017606), Beneficiary Reference Number (test67677), Beneficiary ID/Name (000321 Trade Indiv 1), Branch (PK2-Oracle Banking Trade Finan...), Priority (Medium), Issuing Bank (000149 Trade Customer), and Submission Mode (Desk).
- Guarantee Details:** Includes Guarantee Type (GUAD), Product Code (GUAD), Product Description (Guarantee Advising), Contract Reference Number, 30 Date of Issue (May 24, 2021), Purpose of Message (ADVI - Advice of issued underta...), 23B - Expiry Type (FIXD), 31E Date of Expiry (May 28, 2021), 35G - Expiry Condition/ Event, Claim Date (May 24, 2021), Claim Expiry Date (May 28, 2021), 32B - Currency Code, Amount, Outstanding Currency/ Amount (GBP, £10,000.00), 40C Applicable Rules (Others), Applicant Bank, and Counter Guarantee Issuing Bank.

Provide the Miscellaneous Details based on the description in the following table:

| Field | Description | Sample Values |
|-----------|--|---------------|
| Documents | Upload the claim documents. Application will display the mandatory and optional documents. | |
| Remarks | Provide any additional information regarding the Claim Guarantee Issuance. This information can be viewed by other users processing the request. Content from Remarks Field should be handed off to Remarks field in Backend application. | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| View Guarantee/SBLC | User can view the the latest Guarantee/Standby LC details. | |
| Guarantee/SBLC Events | User can view all the previous events under the Guarantee/Standby LC. | |
| Submit | <p>On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Issuance.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p> | |
| Cancel | Cancels the Guarantee Issuance Registration stage input. | |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Checklist | <p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> <ol style="list-style-type: none"> 1. Signatures on Claim verified 2. Documents are verified and uploaded | |

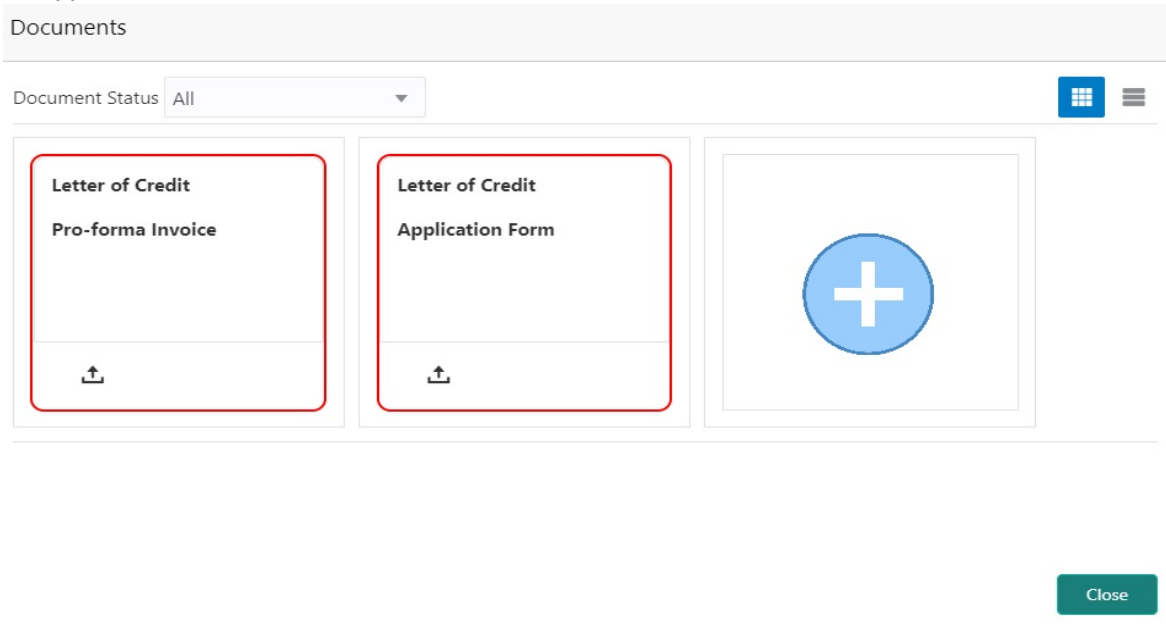
Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.
2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

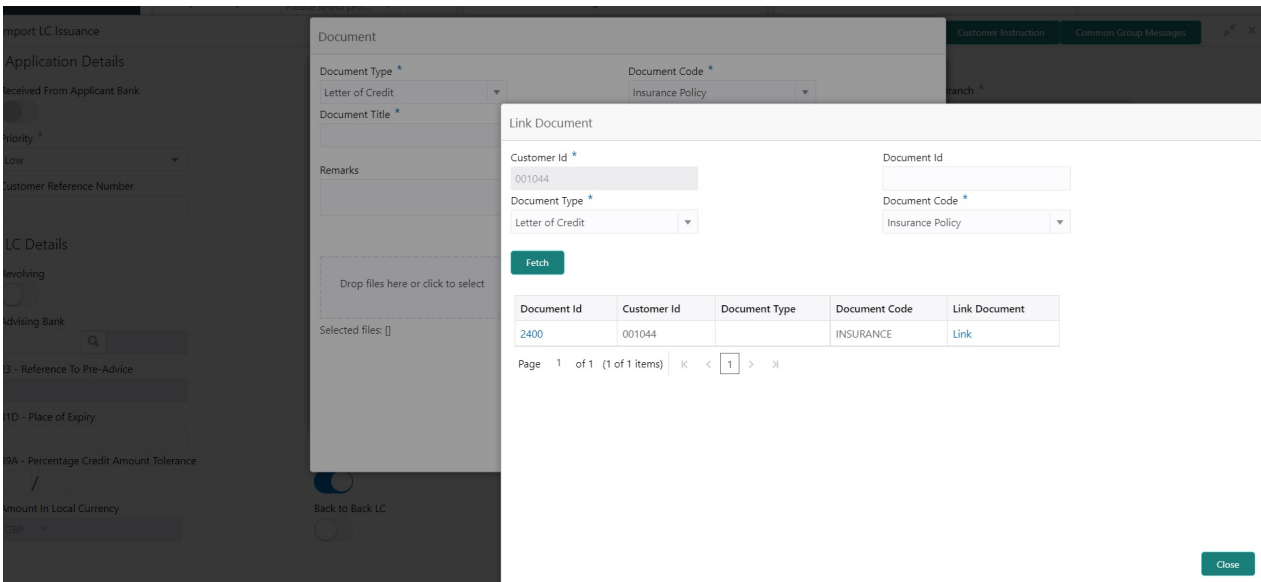
| | |
|---|--|
| <p>Document Type * <input type="text" value="Letter of Credit"/></p> <p>Document Title * <input type="text"/></p> <p>Remarks <input type="text"/></p> <div style="border: 1px dashed #ccc; padding: 5px; margin: 10px 0;">Drop files here or click to select</div> <p>Selected files: []</p> | <p>Document Code * <input type="text" value="Insurance Policy"/></p> <p>Document Description <input type="text"/></p> <p>Document Expiry Date <input type="text" value=""/></p> |
|---|--|

[Link Document](#)

| Field | Description | Sample Values |
|---------------|---|---------------|
| Document Type | Select the Document type from list. Indicates the document type from metadata. | |

| Field | Description | Sample Values |
|----------------------|---|---------------|
| Document Code | Select the Document Code from list. Indicates the document Code from metadata. | |
| Document Title | Specify the document title. | |
| Document Description | Specify the document description. | |
| Remarks | Specify the remarks. | |
| Document Expiry Date | Select the document expiry date. | |
| Link Document | The link to link the existing uploaded documents from DMS to the workflow task. | |

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.
The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

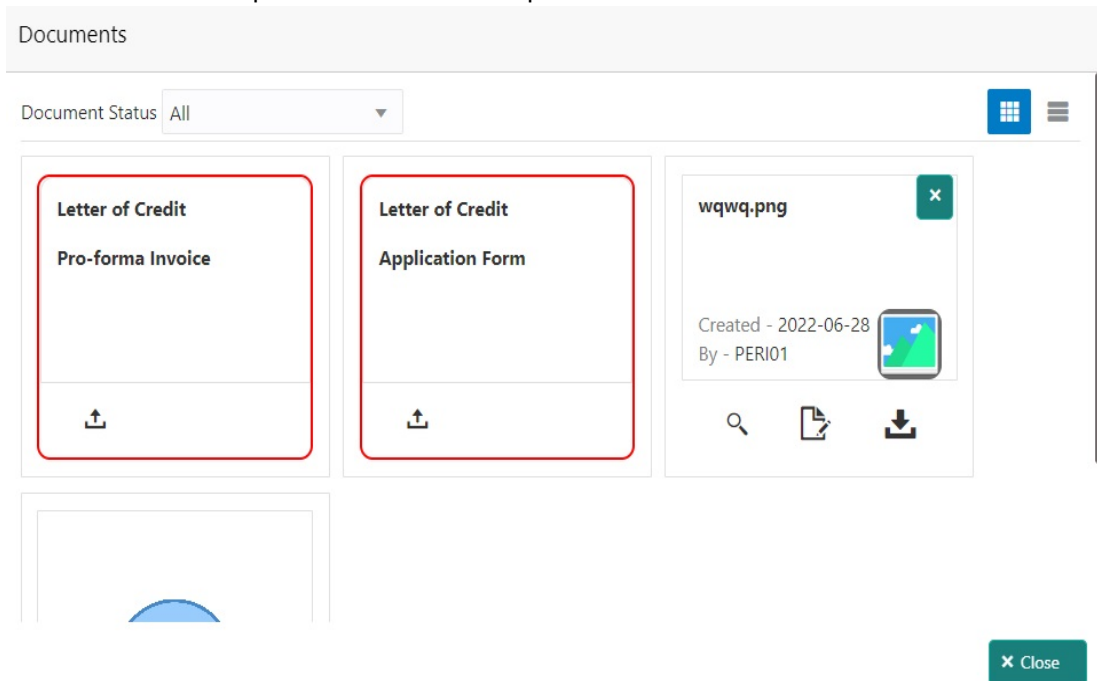


5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

| Field | Description | Sample Values |
|---------------|---|---------------|
| Customer ID | This field displays the transaction Customer ID. | |
| Document ID | Specify the document Id. | |
| Document Type | Select the document type from list. | |
| Document Code | Select the document code from list. | |
| Search Result | | |
| Document ID | This field displays the document Code from meta data. | |

| Field | Description | Sample Values |
|---------------|---|---------------|
| Customer ID | This field displays the transaction Customer ID. | |
| Document Type | This field displays the document type from meta data. | |
| Document Code | This field displays the document code from meta data. | |
| Link Document | The link to link the existing uploaded documents from DMS to the workflow task. | |

6. Click **Link** to link the particular document required for the current transaction.



Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Document screen appears.

Edit Document

| | |
|--|---|
| Document Id 2400 | Document Title wqwq |
| Application Reference Number PK2ILCI000019041 | Entity Reference Number PK2ILCI000019041 |
| Document Type Id TFPM_DOCTYPE001 | Document Description |
| Remarks | Document Expiry Date Jun 29, 2022 |

Drop files here or click to select

Current selected files: []

Update Cancel

Scrutiny

On successful completion of Registration of a Guarantee issuance request, the request moves to scrutiny stage. At this stage the gathered information during Registration stage and claim request are scrutinized. As part of scrutiny, the bank user can update the various claim fields. The user should also be able to input the transaction details.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.

FuTura Bank

Sign In

User Name *
SRIDHAR

Password *
.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard displays several widgets for user monitoring and management:

- Draft Confirmation Pending:** Table with columns Customer Name, Application Date, and Status. Rows include EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** Table with columns Branch, Process Name, and Stage Name. Row: Bank Futura, NA, Retry HandOf.
- Priority Details:** Table with columns Branch, Process Name, Stage Name, and Amount. Rows include Bank Futura (NA, Amount Blo), Bank Futura (NA, Amount Blo), and 004 (NA, Loan Applic).
- High Value Transactions:** A bubble chart showing transaction values for GBP. The x-axis ranges from -2 to 12, and the y-axis from -20K to 140K.
- SLA Breach Details:** Table with columns Customer Name, SLA Breached(mins), and Priority. Rows include NA (23474, H, KEERTIV01), HSBC BANK (26667, M, SHUBHAM), WALL MART (23495, SHUBHAM), and EMR & CO (26780, M, GOPINATH01).
- Priority Summary:** Table with columns Branch, Process Name, and Stage Name. Row: 203, Cucumber Testing, test descrip.
- Hold Transactions:** Table with columns Branch, Process Name, and Stage Name.
- SLA Status:** Filtered to Cucumber Testing.
- Tasks Detailed:** Filtered to Cucumber Testing.

3. Click Trade Finance> Tasks> Free Tasks.

The Oracle Free Tasks page displays a list of tasks with the following columns:

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number | Amount |
|---|----------|---------------------------|--------------------------|--------------------|-----------------------|------------------|--------|-----------------|-------------|
| <input type="checkbox"/> Acquire & Edit | M | Lodge Claim - Guarant | PK2GTCC000039486 | PK2GTCC000039486 | Scrutiny | 20-11-11 | PK2 | 001044 | £2,000.00 |
| <input type="checkbox"/> Acquire & Edit | M | Import LC Issuance | PK2ILCI000039466 | PK2ILCI000039466 | DataEnrichment | 20-11-11 | PK2 | 001044 | £4,425.00 |
| <input type="checkbox"/> Acquire & Edit | M | Import LC Issuance | PK2ILCI000039473 | PK2ILCI000039473 | Registration | 20-11-11 | PK2 | 001044 | £5,500.00 |
| <input type="checkbox"/> Acquire & Edit | M | Guarantee Advise Amen... | PK2GTAA000039471 | PK2GTAA000039471 | Registration | 20-11-11 | PK2 | 001044 | £27,000.00 |
| <input type="checkbox"/> Acquire & Edit | M | ExportLC Amendment B... | PK2ELCA000039469 | PK2ELCA000039469 | DataEnrichment | 20-11-11 | PK2 | 001044 | £82,300.00 |
| <input type="checkbox"/> Acquire & Edit | M | ExportLC Amendment B... | PK2ELCA000039467 | PK2ELCA000039467 | DataEnrichment | 20-11-11 | PK2 | 001044 | £82,300.00 |
| <input type="checkbox"/> Acquire & Edit | M | Guarantee Claim Lodging | PK2GTCC000039459 | PK2GTCC000039459 | DataEnrichment | 20-11-11 | PK2 | 001044 | £2,000.00 |
| <input type="checkbox"/> Acquire & Edit | M | Guarantee Claim Lodging | PK2GTCC000039464 | PK2GTCC000039464 | Approval Task Level 1 | 20-11-11 | PK2 | 001044 | £2,000.00 |
| <input type="checkbox"/> Acquire & Edit | M | Import LC Issuance | PK2ILCI000039462 | PK2ILCI000039462 | Scrutiny | 20-11-11 | PK2 | 001044 | £343,434.00 |
| <input type="checkbox"/> Acquire & Edit | M | Shipping Guarantee Iss... | PK2SGTI000039036 | PK2SGTI000039036 | Approval Task Level 1 | 20-11-05 | PK2 | 001044 | £10.00 |
| <input type="checkbox"/> Acquire & Edit | M | Guarantee Issuance Ame... | PK2GTGI000039457 | PK2GTGI000039457 | DataEnrichment | 20-11-11 | PK2 | 000153 | £14,000.00 |
| <input type="checkbox"/> Acquire & Edit | M | Import LC Issuance | PK2ILCI000039430 | PK2ILCI000039430 | Handoff RetryTask | 20-11-11 | PK2 | 001044 | £4,435.00 |
| <input type="checkbox"/> Acquire & Edit | M | Guarantee Cancellation | PK2GTCC000039450 | PK2GTCC000039450 | DataEnrichment | 20-11-11 | PK2 | 001044 | £10,000.00 |
| <input type="checkbox"/> Acquire & Edit | M | Guarantee Cancellation | PK2GTCC000039449 | PK2GTCC000039449 | DataEnrichment | 20-11-11 | PK2 | 001044 | £76,355.00 |

4. Select the appropriate task and click Acquire & Edit to edit the task or click Acquire to edit the task from My Tasks.

The Oracle Free Tasks page shows the same list of tasks as above. The 'Acquire & Edit' button for the first task (Lodge Claim - Guarant) is highlighted with a blue checkmark, indicating it has been selected for editing.

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number | Amount |
|--|----------|--------------------------|--------------------------|--------------------|----------------|------------------|--------|-----------------|------------|
| <input checked="" type="checkbox"/> Edit | M | Lodge Claim - Guaran | PK2GTEC000039486 | PK2GTEC000039486 | Scrutiny | 20-11-11 | PK2 | 001044 | £2,000.00 |
| <input type="checkbox"/> Edit | | Guarantee Claim Lodging | PK2GTEC000039455 | PK2GTEC000039455 | Registration | 20-11-11 | PK2 | 001044 | £76,355.00 |
| <input type="checkbox"/> Edit | | Guarantee Claim Lodging | PK2GTEC000039428 | PK2GTEC000039428 | Scrutiny | 20-11-11 | PK2 | 001044 | £2,000.00 |
| <input type="checkbox"/> Edit | | Guarantee Claim Lodging | PK2GTEC000039427 | PK2GTEC000039427 | Registration | 20-11-11 | PK2 | 001044 | £1,000.00 |
| <input type="checkbox"/> Edit | | Guarantee Claim Lodging | PK2GTEC000039419 | PK2GTEC000039419 | Registration | 20-11-11 | PK2 | 001044 | £76,355.00 |
| <input type="checkbox"/> Edit | | Guarantee Claim Lodging | PK2GTEC000039418 | PK2GTEC000039418 | Registration | 20-11-11 | PK2 | 001044 | £76,355.00 |
| <input type="checkbox"/> Edit | M | Guarantee Advise | PK2GTEA000039414 | PK2GTEA000039414 | Scrutiny | 20-11-11 | PK2 | | £9,000.00 |
| <input type="checkbox"/> Edit | M | Guarantee Issuance | PK2GTEI000039413 | PK2GTEI000039413 | Scrutiny | 20-11-11 | PK2 | 006217 | £1,000.00 |
| <input type="checkbox"/> Edit | | Guarantee Claim Lodging | PK2GTEC000039399 | PK2GTEC000039399 | Scrutiny | 20-11-10 | PK2 | 001044 | £76,355.00 |
| <input type="checkbox"/> Edit | | Guarantee Claim Lodging | PK2GTEC000039398 | PK2GTEC000039398 | Registration | 20-11-10 | PK2 | 001044 | £80,000.00 |
| <input type="checkbox"/> Edit | | Guarantee Claim Lodging | PK2GTEC000039397 | PK2GTEC000039397 | Registration | 20-11-10 | PK2 | 001044 | £76,355.00 |
| <input type="checkbox"/> Edit | | Guarantee Claim Lodging | PK2GTEC000039396 | PK2GTEC000039396 | Registration | 20-11-10 | PK2 | 001044 | £76,355.00 |
| <input type="checkbox"/> Edit | M | Guarantee Amendment | PK2GTEA000039371 | PK2GTEA000039371 | DataEnrichment | 20-11-10 | PK2 | 001044 | £2,000.00 |
| <input type="checkbox"/> Edit | M | Guarantee Advise Amen... | PK2GTAA000039364 | PK2GTAA000039364 | DataEnrichment | 20-11-10 | PK2 | 001044 | £2,000.00 |

The Scrutiny stage has five sections as follows:

- Main Details
- Claim Details
- Document Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for scrutiny stage. User can enter/update the following fields as part of claim under Guarantee/SBLC - Scrutiny Stage. Some of the fields that are already having value from registration/online channels may not be editable.

In case of requests received through SWIFT MT765, the task will be created in Scrutiny stage directly and the fields will be populated based on the incoming request.

Main Details

Main details section has three sub section as follows:


- Application Details
- Guarantee Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) in the Registration stage for more information of the fields.

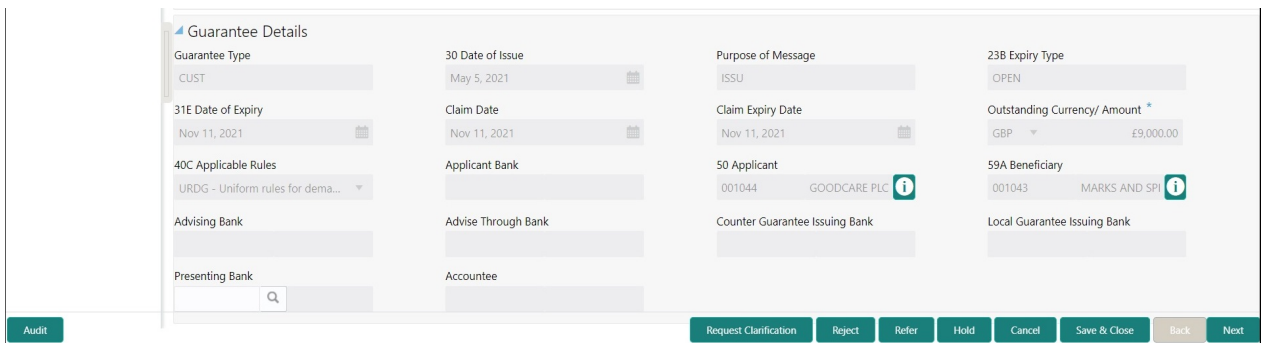
In case of SWIFT MT 765, the system displays the following fields.

| Field | Description | Sample Values |
|--------------------------|--|-----------------------------|
| Undertaking Number | In case of SWIFT MT 765, Read Only. System to populate the undertaking number from the incoming SWIFT MT 765, Tag 21 Related Reference. | |
| Customer ID/ Name | Read only field. System defaults the Customer ID/ Name from the underlying Guarantee/ SBLC Issuance. | 001345 |
| Branch | Read only field. System defaults the branch code as applicable. | 203-Bank Futura -Branch FZ1 |
| Claim Serial Number | Read only field. System defaults the claim serial number from Guarantee/ SBLC Issuance. This should be the latest claim number available in back-end system +1. | |
| Process Reference Number | Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code. | 203GTEISS000 001134 |
| Priority | Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted. | High |

| Field | Description | Sample Values |
|------------------------------|---|---------------|
| Submission Mode | In case of SWIFT MT 765 system defaults the submission mode as 'SWIFT'. | |
| Claim Lodgement Date | By default, the application will display branch's current date. Read only field.  Note Future date and back date selection is not allowed. | 04/13/2018 |
| Beneficiary Reference Number | In case of SWIFT MT 765, System populates Tag 23 - Beneficiary Reference Number from the Incoming MT 765. | |

Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Registration](#). Refer to [Guarantee Details](#) for more information of the fields. During registration, if user has not captured input, then user can capture the details in this section.



In case of SWIFT MT 765, the system displays the following fields.


| Field | Description | Sample Values |
|---------------------------------|---|---|
| Guarantee Type | Read only field. System defaults the value from Guarantee/ SBLC Issuance. | ADVP |
| Date of Issue | Read only field. System defaults the value from Guarantee/ SBLC Issuance. | 04/13/18 |
| Purpose of message | Read only field. System defaults the purpose of message from Guarantee/ SBLC Issuance. | |
| Expiry Type | Read only field. System defaults the expiry type as in Guarantee/ SBLC Issuance. | |
| Date Of Expiry | Read only field. System defaults the expiry date as in Guarantee/ SBLC Issuance. | 09/30/18 |
| Claim Date | Read only field. System defaults the claim date as in Guarantee/ SBLC Issuance. | 04/13/2018 |
| Claim Expiry Date | Read only field. System defaults the claim expiry date as in Guarantee/ SBLC Issuance. | 04/13/2018 |
| Outstanding Currency/ Amount | Read only field. System defaults the outstanding currency and amount from Guarantee/ SBLC Issuance. | |
| Applicable Rules | Read only field. System defaults the value from Guarantee/ SBLC Issuance. | URDG - Uniform rules for demand guarantees |
| Applicant Bank | Read only field. System defaults the applicant bank details from Guarantee/ SBLC Issuance. | 001345 Nestle |
| Applicant | Read only field. System defaults the applicant from Guarantee/ SBLC Issuance. | 001345 Nestle |
| Beneficiary | System defaults the beneficiary as in Guarantee/ SBLC Issuance. | 001345 Nestle |
| Advising Bank | Read only field. System defaults the advising bank if available in issuance. | 001343 - Bank Of America |
| Advising Through Bank | Read only field. System defaults the advising through bank if available in issuance. | |

| Field | Description | Sample Values |
|--------------------------------|--|---------------|
| Counter Guarantee Issuing Bank | Read only field. System defaults the counter guarantee issuing through bank if available in issuance. | |
| Local Guarantee Issuing Bank | Read only field. System defaults the local guarantee issuing bank if available in issuance. | |
| Presenting Bank | System defaults the presenting bank if available in issuance. | |
| Accountee | Read only field. System defaults the accountee name if available. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |
| Reject | <p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Hold | The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant. | |
| Cancel | Cancel the Guarantee Issuance scrutiny stage inputs. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request | |
| Next | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. | |
| Request Clarification | User should be able to specify the clarification details for requests received online. | |
| Documents | Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.  Note Not applicable for STP of SWIFT MT 765. | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view the overrides accepted by the user. | |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| Common Group Message | Click Common Group Message button, to send MT799 and MT999 messages from within the task. | |
| Incoming Message | Clicking this button allows the user to see the message in case of STP of incoming MT 765. | |

| Field | Description | Sample Values |
|------------------|---|---------------|
| View Undertaking | Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system. | |

Claim Details

Provide the Claim details based on the description in the following table:

| Field | Description | Sample Values |
|-------------------------|--|---------------|
| Claiming Bank Reference | <p>The user can enter the claiming bank reference details, if the claimed is not received from Beneficiary.</p> <p>User can enter the Transaction Reference number from MT 765. In case of STP of incoming MT 765, system to populate the details from incoming MT 765.</p> <p>If the claim is received from the beneficiary, this field will not be editable.</p> | |
| Date of Demand | <p>User can enter the date on which the demand is issued by the beneficiary.</p> <p>In case of STP of Incoming MT 765, the System to populate the value in tag 31L, Date of Demand from incoming MT 765.</p> | |
| Demand Indicator | <p>Read Only field.</p> <p>System defaults value from Guarantee /SBLC Advise.</p> | |


| Field | Description | Sample Values |
|------------------------|--|---------------|
| Demand Type | <p>This field specifies the type of demand.</p> <p>The values are:</p> <ul style="list-style-type: none"> • Extend or Settle • Settle <p>In case of STP of Incoming MT 765, the demand type is defaulted from the incoming MT 765 message.</p> <p>In case of Non-Online, User can input the value as per claim.</p> | |
| Claim Currency/ Amount | <p>User can select the currency for claim and enter the claim amount.</p> | |
| New Expiry Date | <p>Specify the new expiry date, if Demand Type field is 'Extend or Settle'.</p> <p>This field is disabled if the Demand Type is 'Settle'.</p> <p>In case of STP of Incoming MT 765, the new expiry date is defaulted from the incoming MT 765 message.</p> <p>In case of Non-Online, User can input the value as per claim.</p> <p>System validates that the New Expiry Date is not earlier than the Expiry Date or not earlier than Branch Date</p> | |
| Response Due Date | <p>System defaults value from Guarantee /SBLC Advise. The user can change the value.</p> <p>Specify the response due date, if Demand Type field has the value as Extend or Settle.</p> | |
| Demand Statement | <p>This field specifies the narrative text that constitutes the demand.</p> <p>The codes can be:</p> <ul style="list-style-type: none"> • COMP: Complete demand, no other documentation to accompany or follow this message. • INCP: Incomplete demand, supporting documentation to be presented separately. <p>In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message. In case of Non-Online, User can input the value as per claim.</p> | |

| Field | Description | Sample Values |
|---------------------------------|--|---------------|
| Presentation Completion Details | <p>The user can enter the presentation of completion details, if demand statement is provided. This field specifies information about the presentation documentation. If the presentation is incomplete, this must specify how the presentation will be completed</p> <p>In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message.</p> <p>In case of Non-Online, User can input the value as per claim.</p> | |
| Additional Amount Information | <p>The user can enter the details on additional amount in this field.</p> <p>In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message.</p> <p>In case of Non-Online, User can input the value as per claim</p> | |
| Intermediary | <p>The user can enter the Intermediary bank details. This field specifies the financial institution through which the amount claimed must pass to reach the account with institution.</p> <p>In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message.</p> <p>In case of Non-Online, User can input the value as per claim.</p> | |
| Account with Institution | <p>The user can enter the details of Account with Institution.</p> <p>This field specifies the financial institution at which the amount claimed is to be settled.</p> <p>In case of STP of Incoming MT 765, this field is defaulted from the incoming message.</p> <p>In case of Non-Online, User can input the value as per claim</p> | |

Action Buttons

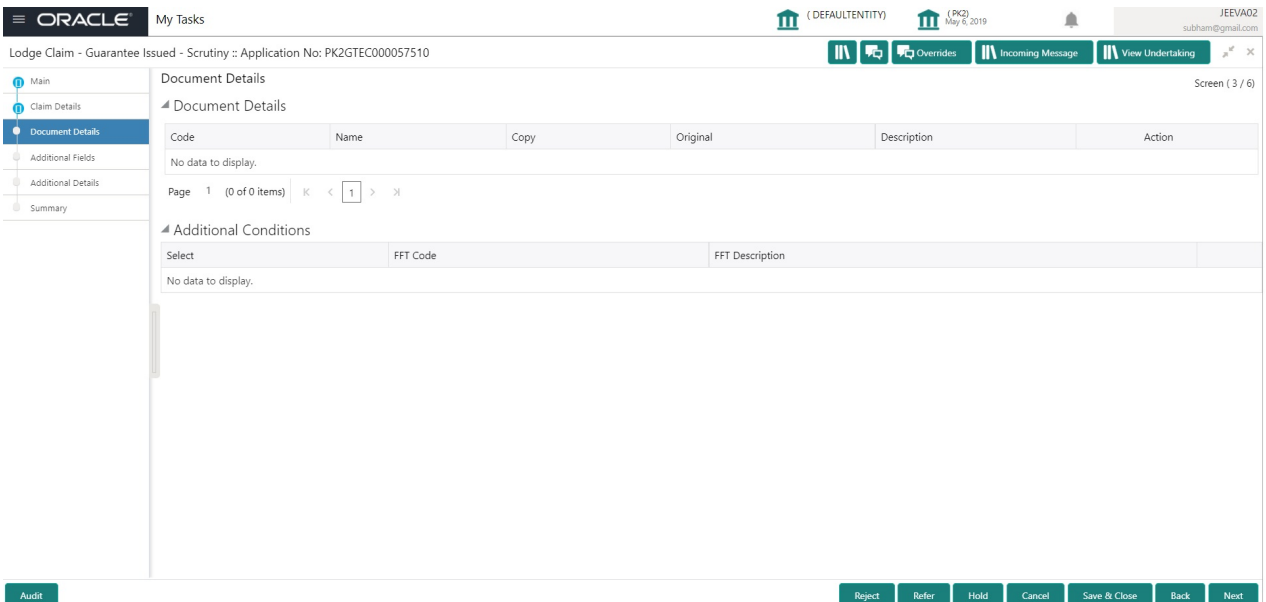
Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Reject | <p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Clarification Details | <p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | <p>Cancel the Guarantee Issuance scrutiny stage inputs.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Next | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> | |

| Field | Description | Sample Values |
|------------------|---|---------------|
| Documents | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p> Note Not applicable for STP of SWIFT MT 765.</p> | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view the overrides accepted by the user. | |
| Incoming Message | Clicking this button allows the user to see the message in case of STP of incoming MT 765. | |
| View Undertaking | Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system. | |

Document Details

In Document Details, the user can to view the Documents required for a claim and verify if the Claim Documents are submitted as per documents required. The user, can scrutinize the claim request and input data as required.



Provide the Document details based on the description in the following table:


In case of STP of Incoming MT 765, values should be handled as done in Offline process for Guarantee Claim.

| Field | Description | Sample Values |
|--------------------|---|---------------|
| Code | User can enter the document code. | |
| Name | System defaults the document name based on the document code. | |
| Copy | Copy of the document. | |
| Original | Original claim document. | |
| Description | User can enter the description of the document if any. | |
| Documents Received | User can enter the details of document received. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |
| Reject | <p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | <p>Cancel the Guarantee Issuance scrutiny stage inputs.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Next | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> | |
| Request Clarification | <p>User should be able to specify the clarification details for requests received online.</p> | |
| Documents | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <div style="text-align: center;">  <p>Note</p> <p>Not applicable for STP of SWIFT MT 765.</p> </div> | |
| Remarks | <p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p> | |
| Overrides | <p>Click to view the overrides accepted by the user.</p> | |
| Incoming Message | <p>Clicking this button allows the user to see the message in case of STP of incoming MT 765.</p> | |

| Field | Description | Sample Values |
|------------------|---|---------------|
| View Undertaking | Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system. | |

Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.


In case of STP of Incoming MT 765, values should be handled as done in Offline process for Guarantee Claim.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | Cancel the Guarantee Advise Amendment inputs. | |
| Next | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. | |
| Request Clarification | User should be able to specify the clarification details for requests received online. | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |

| Field | Description | Sample Values |
|------------------|--|---------------|
| Documents | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <div style="text-align: center;">  <p>Note Not applicable for STP of SWIFT MT 765.</p> </div> | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view the overrides accepted by the user. | |
| Incoming Message | Clicking this button allows the user to see the message in case of STP of incoming MT 765. | |
| View Undertaking | Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system. | |

Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level.


In case of STP of Incoming MT 765, values should be handled as done in Offline process for Guarantee Claim.

Guarantee Claim Lodging - Scrutiny :: Application No: PK2GTEC000039522

Clarification Details Overrides Incoming Message

- Main
- Claim Details
- Document Details
- Additional Fields
- Advices**
- Additional Details
- Settlement Details
- Summary

Advices

Advice : GUA_CLAIM_ADV 

Advice Name : GUA_CLAIM_ADV

Advice Party : APP

Party Name : GOODCARE PLC

Suppress : NO

Advice

Audit
Request Clarification
Reject
Refer
Hold
Cancel
Save & Close
Back
Next

The user can also suppress the Advice, if required.

Advice Details x



Suppress Advice
 Advice Name: GUA_CLAIM_ADV
Medium: MAIL
Advice Party: APP

Party ID: 001044
 Party Name: GOODCARE PLC



FFT Code + -

No data to display.

Instructions OK Cancel

| Field | Description | Sample Values |
|---|--|---------------|
| Suppress Advice | <p>Toggle on: Switch on the toggle if advice is suppressed.</p> <p>Toggle off: Switch off the toggle if suppress advice is not required for the amendments</p> | |
| Advice Name | User can select the instruction code as a part of free text. | |
| Medium | The medium of advices is defaulted from the system. User can update if required. | |
| Advice Party | Value be defaulted from Guarantee /SBLC Issuance. User can update if required. | |
| Party ID | Value be defaulted from Guarantee /SBLC Issuance. User can update if required. | |
| Party Name | <p>Read only field.</p> <p>Value be defaulted from Guarantee /SBLC Issuance.</p> | |
| Free Format Text | | |
| FFT Code | User can select the FFT code as a part of free text. | |
| FFT Description | FFT description is populated based on the FFT code selected. | |
|  | Click plus icon to add new FFT code. | |
|  | Click minus icon to remove any existing FFT code. | |


Instruction Details

| Field | Description | Sample Values |
|---|--|---------------|
| Instruction Code | User can select the instruction code as a part of free text. | |
| Instruction Description | Instruction description is populated based on the FFT code selected. | |
|  | Click plus icon to add new instruction code. | |
|  | Click minus icon to remove any existing instruction code. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Hold | The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant. | |
| Cancel | Cancel the Guarantee Advice Scrutiny inputs. | |
| Next | Task will get moved to next logical stage of Guarantee Amendment Advise. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. | |
| Request Clarification | User should be able to specify the clarification details for requests received online. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request | |
| Back | On clicking the Back, system should move the task to the previous segment. | |
| Documents | Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.  Note Not applicable for STP of SWIFT MT 765. | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view the overrides accepted by the user. | |
| Incoming Message | Clicking this button allows the user to see the message in case of STP of incoming MT 765. | |
| View Undertaking | Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system. | |

Additional Details

As a part of Additional details section, Guarantee /Standby claim may have impact on the Limits & Collaterals.

If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled.

In case of STP of Incoming MT 765, values should be handled as done in Offline process for Guarantee Claim.

Lodge Claim - Guarantee Issued - Scrutiny :: Application No: PK2GTEC000003778

Screen (5 / 6)

Main

- Claim Details
- Document Details
- Additional Fields
- Additional Details**
- Summary

Additional Details

| Limit & Collateral | Charge Details |
|------------------------------------|----------------|
| Limit Currency : | Charge : |
| Limit Contribution : | Commission : |
| Limit Status : | Tax : |
| Collateral Currency : GBP | Block Status : |
| Collateral : 790 | |
| Contribution : Not Verified | |
| Collateral Status | |

Audit

Reject Refer Hold Cancel Save & Close Back Next

Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the “Limit Earmark Reference Number “to the back office. On successful handoff, back office will make use of these “Limit Earmark Reference Number” to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Limits and Collaterals

Limit Details

| Customer ID | Linkage Type | Liability Number | Line Id/Linkage Ref No | Line Serial | Contribution % | Contribution Currency | Contribution Amount | Limit Check Response | Response Message |
|---------------------|--------------|------------------|------------------------|-------------|----------------|-----------------------|---------------------|----------------------|------------------|
| No data to display. | | | | | | | | | |

Cash Collateral Details

Collateral Percentage * 20.0

Collateral Currency and amount GBP £220.00

Exchange Rate

+ (plus icon)

| Sequence Number | Settlement Account Currency | Settlement Account | Exchange Rate | Collateral % | Contribution Amount | Contribution Amount in Account Currency | Account Balance Check Response |
|-----------------|-----------------------------|--------------------|---------------|--------------|---------------------|---|--------------------------------|
| 1 | | PK20010440017 | 1 | 100 | | | |

Save & Close Cancel

Limit Details

Customer Id 001044

Linkage Type * Facility

Contribution % * 1.0

Liability Number * PK2LIAB01

Contribution Currency GBP

Line Id/Linkage Ref No * PK2L01SL1

Limit/Liability Currency GBP

Limits Description

Limit Check Response Available

Contribution Amount * £220.00



Expiry Date

Limit Available Amount £999,999,903.89

Response Message The Earmark can be performed as the f

ELCM Reference Number

Verify Save & Close Close

| Field | Description | Sample Values |
|--|---|---------------|
| Plus Icon  | Click plus icon to add new Limit Details. | |
| Delete Icon  | Click delete icon to remove any existing Limit Details. | |
| Edit | Click edit link to edit the limit details. | |

| Field | Description | Sample Values |
|---|---|---------------|
| <p>Limit Details</p> <p>Click + plus icon to add new limit details.</p> <p>Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.</p> | | |
| Customer ID | Applicant's/Applicant Bank customer ID will get defaulted. | |
| Linkage Type | <p>Select the linkage type.</p> <p>Linkage type can be:</p> <ul style="list-style-type: none"> • Facility • Liability | |
| Line ID/Linkage Ref No | User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount. | |
| Line Serial | <p>Displays the serial of the various lines available and mapped under the customer id.</p> <p>This field appears on the Limits grid.</p> | |
| Contribution% | <p>System will default this to 100% and user can modify. System will display an alert message, if modified.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p> | |
| Liability Number | Click Search to search and select the Liability Number from the look-up. | |
| Contribution Currency | The guarantee currency will be defaulted in this field. | |
| Limit/ Liability Currency | Limit Currency will be defaulted in this field. | |
| Limits Description | This field will display the description of the limits. | |
| Limit Check Response | <p>Response can be 'Success' or 'Limit not Available'.</p> <p>This field displays the value, if you click Verify button.</p> | |
| Contribution Amount | Contribution amount will default based on the contribution %. | |

| Field | Description | Sample Values |
|------------------------|--|---------------|
| Expiry Date | This field displays the date up to which the Line is valid | |
| Limit Available Amount | This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. This field displays the value, if you click Verify button. | |
| Response Message | Detailed Response message. This field displays the value, if you click Verify button. | |
| ELCM Reference Number | This field displays the ELCM reference number. | |


Provide the collateral details based on the description provided in the following table:

Collateral Details ✕

| | |
|---|--|
| <p>Total Collateral Amount * <input type="text" value="£90,000.00"/></p> <p>Sequence Number <input type="text" value="2.0"/></p> <p>Collateral Contribution Amount * <input type="text" value="£67,500.00"/></p> <p>Settlement Account Currency <input type="text" value="GBP"/></p> <p>Contribution Amount in Account Currency <input type="text"/></p> <p>Response <input type="text" value="VS"/></p> <p><input type="button" value="Verify"/></p> | <p>Collateral Amount to be Collected * <input type="text" value="£90,000.00"/></p> <p>Collateral Split % * <input type="text" value="75.0"/> <input type="button" value="v"/> <input type="button" value="^"/></p> <p>Settlement Account * <input type="text" value="PK20010440017"/> <input type="button" value="Q"/></p> <p>Exchange Rate <input type="text" value="1"/> <input type="button" value="v"/> <input type="button" value="^"/></p> <p>Account Available Amount <input type="text" value="£999,999,999,957,803,300.00"/></p> <p>Response Message <input type="text" value="The amount block can be performed as:"/></p> <p style="text-align: right;"><input type="button" value="✓ Save & Close"/> <input type="button" value="✕ Cancel"/></p> |
|---|--|

| Field | Description | Sample Values |
|--------------------------------|---|---------------|
| Cash Collateral Details | | |
| Collateral Percentage | Specify the percentage of collateral to be linked to this transaction. | |
| Collateral Currency and amount | System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount. | |
| Exchange Rate | System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified. | |

| Field | Description | Sample Values |
|---|--|---------------|
| Click + plus icon to add new collateral details. | | |
| Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon. | | |
| Total Collateral Amount | Read only field. This field displays the total collateral amount provided by the user. | |
| Collateral Amount to be Collected | Read only field. This field displays the collateral amount yet to be collected as part of the collateral split. | |
| Sequence Number | Read only field. The sequence number is auto populated with the value, generated by the system. | |
| Collateral Split % | Specify the collateral split% to be collected against the selected settlement account. | |
| Collateral Contribution Amount | Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified. | |
| Settlement Account | Select the settlement account for the collateral. | |
| Settlement Account Currency | Select the Settlement Account Currency. | |
| Exchange Rate | Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency. | |
| Contribution Amount in Account Currency | Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system. | |
| Account Available Amount | Account Available Amount will be auto-populated based on the Settlement Account selection. | |
| Response | Response can be 'Success' or 'Amount not Available'. | |
| Response Message | Detailed Response message. | |
| Verify | Click to verify the account balance of the Settlement Account. | |

| Field | Description | Sample Values |
|--|---|---------------|
| Save & Close | Click to save and close the record. | |
| Cancel | Click to cancel the entry. | |
| Below fields appear in the Cash Collateral Details grid along with the above fields. | | |
| Collateral % | User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. | |
| Contribution Amount | Collateral contribution amount will get defaulted in this field. | |
| Account Balance Check Response | Response for account balance check is defaulted in this field. | |
| Delete Icon  | Click minus icon to remove any existing Collateral Details. | |
| Edit Link | Click edit link to edit any existing Collateral Details. | |

Charge Details

Click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details
✕

Recalculate
Redefault

▲ Commission Details

Event

Event Description

| Component | Rate | Modified Rate | Currency | Amount | Modified | Defer | Waive | Charge Party | Settlement Account |
|---------------------|------|---------------|----------|--------|----------|-------|-------|--------------|--------------------|
| No data to display. | | | | | | | | | |

Page 1 (0 of 0 items) ⏪ < 1 > ⏩

▲ Charge Details

| Component | Tag currency | Tag Amount | Currency | Amount | Modified | Billing | Defer | Waive | Charge Party | Settlement Account |
|---------------------|--------------|------------|----------|--------|----------|---------|-------|-------|--------------|--------------------|
| No data to display. | | | | | | | | | | |

Page 1 (0 of 0 items) ⏪ < 1 > ⏩

▲ Tax Details

| Component | Type | Value Date | Currency | Amount | Billing | Defer | Settlement Account |
|---------------------|------|------------|----------|--------|---------|-------|--------------------|
| No data to display. | | | | | | | |

Save & Close
Close

Commission Details

Provide the Commission Details based on the description provided in the following table:

| Field | Description | Sample Values |
|--------------------|---|---------------|
| Event | Read only field. This field displays the event name. | |
| Event Description | Read only field. This field displays the description of the event. | |
| Component | Select the commission component | |
| Rate | Defaults from product. User can change the rate, if required. | |
| Modified Rate | User can enter a new amount in 'Modified amount' field. This will be the new charge for the modified component. | |
| Currency | Defaults the currency in which the commission needs to be collected | |
| Amount | An amount that is maintained under the product code defaults in this field. User can modify the value, if required. | |
| Modified | User can enter a new amount in 'Modified amount' field. This will be the new charge for the modified component. | |
| Defer | Select the check box, if charges/commissions has to be deferred and collected at any future step. | |
| Waive | Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. | |
| Charge Party | Charge party will be 'Applicant' by Default. You can change the value to Beneficiary. | |
| Settlement Account | Details of the Settlement Account. | |

Charge Details

Provide the Charge Details based on the description provided in the following table:

| Field | Description | Sample Values |
|--------------|--|---------------|
| Component | Charge Component type. | |
| Tag Currency | Defaults the tag currency in which the charges have to be collected. | |

| Field | Description | Sample Values |
|--------------------|---|---------------|
| Tag Amount | Tag amount that is maintained under the product code. | |
| Currency | Defaults the currency in which the charges have to be collected. | |
| Amount | An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required. | |
| Modified | User can enter a new amount in 'Modified amount' field. This will be the new charge for the modified component. | |
| Billing | <p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p> | |
| Defer | <p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p> | |
| Waive | <p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p> | |
| Charge Party | Charge party will be applicant by default. You can change the value to beneficiary | |
| Settlement Account | Details of the settlement account. | |

Tax Details

The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.


Following Tax Details will be displayed:

| Field | Description | Sample Values |
|--------------------|---|---------------|
| Component | Tax Component type. | |
| Type | Type of tax Component. | |
| Value Date | This field displays the value date of tax component. | |
| Currency | The tax currency is the same as the commission. | |
| Amount | The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required. | |
| Billing | If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled. | |
| Defer | If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation. | |
| Settlement Account | Details of the settlement account. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request | |
| Cancel | Cancel the Scrutiny Stage Inputs. | |
| Hold | The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant. | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Reject | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Next | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> | |
| Request Clarification | <p>User should be able to specify the clarification details for requests received online.</p> | |
| Documents | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <div style="text-align: center;">  <p>Note</p> <p>Not applicable for STP of SWIFT MT 765.</p> </div> | |
| Remarks | <p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p> | |
| Overrides | <p>Click to view the overrides accepted by the user.</p> | |
| Incoming Message | <p>Clicking this button allows the user to see the message in case of STP of incoming MT 765.</p> | |
| View Undertaking | <p>Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.</p> | |

Summary

User can review the summary screen for Guarantee /Standby Claim request.

Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system, user can see the summary tiles. The tiles must display a list of important fields with values. The tiles where fields have been

amended is highlighted in different color, User must be also able to drill down from summary tiles into respective data segments.

The screenshot displays the Oracle Lodge Claim - Guarantee Issued Summary screen. The interface includes a navigation menu on the left with options like Main, Claim Details, Document Details, Additional Fields, and Summary. The main content area is a grid of summary tiles. The tiles are organized into two rows of four columns each. The first row contains Main, Claim Details, Document Details, and Additional Fields. The second row contains Limits and Collaterals, Commission, Charges and taxes, Party Details, and Compliance. Each tile displays key information such as Booking Date, Demand Type, Document 1, Contribution Currency, Charge, Beneficiary, and KYC status. The bottom of the screen features a row of action buttons: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, Next, and Submit.


Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Claim Details - User can view the claim details.
- Documents Details- User can view the Document details.
- Additional Fields - User can view the additional fields.
- Commission, tax and Charges - User can view the details provided for charges. User can modify the details if required.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Submit | Task will get moved to next logical stage of Guarantee Claim. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. | |
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | Cancel the Guarantee Advice Scrutiny inputs. | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Back | On clicking the Back, system should move the task to the previous segment. | |
| Request Clarification | User should be able to specify the clarification details for requests received online. | |
| Documents | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <div style="text-align: center;">  <p>Note</p> <p>Not applicable for STP of SWIFT MT 765.</p> </div> | |

| Field | Description | Sample Values |
|------------------|---|---------------|
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view the overrides accepted by the user. | |
| Incoming Message | Clicking this button allows the user to see the message in case of STP of incoming MT 765. | |
| View Undertaking | Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system. | |

Data Enrichment

As part of Data Enrichment, user can enter/update the various fields of the claim request. The user can also input the transaction details.

In case of requests received through SWIFT MT765, the task will be created in DE stage directly and the fields will be populated based on the incoming request.



Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task which completed the Registration and Scrutiny and currently at Data enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard displays several widgets:

- Draft Confirmation Pending:** Table with columns: Customer Name, Application Date, Status. Rows include EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** Table with columns: Branch, Process Name, Stage Name. Row: Bank Futura, NA, Retry HandOf.
- Priority Details:** Table with columns: Branch, Process Name, Stage Name. Rows include Bank Futura (NA, Amount Blo), Bank Futura (NA, Amount Blo), and 004 (NA, Loan Applic).
- High Value Transactions:** A bubble chart showing transaction values for GBP.
- SLA Breach Details:** Table with columns: Customer Name, SLA Breached(mins), Priority. Rows include NA (23474, H, KEERTIVO1), HSBC BANK (26667, M, SHUBHAM), WALL MART (23495, SHUBHAM), and EMR & CO (26780, M, GOPINATHO1).
- Priority Summary:** Table with columns: Branch, Process Name, Stage Name. Row: 203, Cucumber Testing, test descrip.
- Hold Transactions:** Table with columns: Branch, Process Name, Stage Name.
- SLA Status:** Cucumber Testing.
- Tasks Detailed:** Cucumber Testing.

3. Click Trade Finance> Tasks> Free Tasks.

The Free Tasks table contains the following data:

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number | Amount |
|---|----------|---------------------------|--------------------------|--------------------|-----------------------|------------------|--------|-----------------|-------------|
| <input type="checkbox"/> Acquire & Edit | M | Lodge Claim - Guarant | PK2GTFC000039486 | PK2GTFC000039486 | DataEnrichment | 20-11-11 | PK2 | 001044 | £2,000.00 |
| <input type="checkbox"/> Acquire & Edit | M | Import LC Issuance | PK2ILCI000039466 | PK2ILCI000039466 | DataEnrichment | 20-11-11 | PK2 | 001044 | £4,425.00 |
| <input type="checkbox"/> Acquire & Edit | M | Import LC Issuance | PK2ILCI000039473 | PK2ILCI000039473 | Registration | 20-11-11 | PK2 | 001044 | £5,500.00 |
| <input type="checkbox"/> Acquire & Edit | M | Guarantee Advise Amen... | PK2GTAA000039471 | PK2GTAA000039471 | Registration | 20-11-11 | PK2 | 001044 | £27,000.00 |
| <input type="checkbox"/> Acquire & Edit | M | ExportLC Amendment B... | PK2ELCA000039469 | PK2ELCA000039469 | DataEnrichment | 20-11-11 | PK2 | 001044 | £82,300.00 |
| <input type="checkbox"/> Acquire & Edit | M | ExportLC Amendment B... | PK2ELCA000039467 | PK2ELCA000039467 | DataEnrichment | 20-11-11 | PK2 | 001044 | £82,300.00 |
| <input type="checkbox"/> Acquire & Edit | M | Guarantee Claim Lodging | PK2GTFC000039459 | PK2GTFC000039459 | DataEnrichment | 20-11-11 | PK2 | 001044 | £2,000.00 |
| <input type="checkbox"/> Acquire & Edit | M | Guarantee Claim Lodging | PK2GTFC000039464 | PK2GTFC000039464 | Approval Task Level 1 | 20-11-11 | PK2 | 001044 | £2,000.00 |
| <input type="checkbox"/> Acquire & Edit | M | Import LC Issuance | PK2ILCI000039462 | PK2ILCI000039462 | Scrutiny | 20-11-11 | PK2 | 001044 | £343,434.00 |
| <input type="checkbox"/> Acquire & Edit | M | Shipping Guarantee Iss... | PK2SGTI000039036 | PK2SGTI000039036 | Approval Task Level 1 | 20-11-05 | PK2 | 001044 | £10.00 |
| <input type="checkbox"/> Acquire & Edit | M | Guarantee Issuance Ame... | PK2GTFC000039457 | PK2GTFC000039457 | DataEnrichment | 20-11-11 | PK2 | 000153 | £14,000.00 |
| <input type="checkbox"/> Acquire & Edit | M | Import LC Issuance | PK2ILCI000039430 | PK2ILCI000039430 | Handoff RetryTask | 20-11-11 | PK2 | 001044 | £4,435.00 |
| <input type="checkbox"/> Acquire & Edit | M | Guarantee Cancellation | PK2GTFC000039450 | PK2GTFC000039450 | DataEnrichment | 20-11-11 | PK2 | 001044 | £10,000.00 |
| <input type="checkbox"/> Acquire & Edit | M | Guarantee Cancellation | PK2GTFC000039449 | PK2GTFC000039449 | DataEnrichment | 20-11-11 | PK2 | 001044 | £76,355.00 |

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

The screenshot shows the same Free Tasks table as above, but with the first row selected (checkbox checked) and the 'Acquire & Edit' button highlighted in the top toolbar.

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number | Amount |
|--|----------|--------------------------|--------------------------|--------------------|----------------|------------------|--------|-----------------|------------|
| <input checked="" type="checkbox"/> Edit | M | Lodge Claim - Guaran | PK2GTEC000039486 | PK2GTEC000039486 | DataEnrichment | 20-11-11 | PK2 | 001044 | £2,000.00 |
| <input type="checkbox"/> Edit | | Guarantee Claim Lodging | PK2GTEC000039455 | PK2GTEC000039455 | Registration | 20-11-11 | PK2 | 001044 | £76,355.00 |
| <input type="checkbox"/> Edit | | Guarantee Claim Lodging | PK2GTEC000039428 | PK2GTEC000039428 | Scrutiny | 20-11-11 | PK2 | 001044 | £2,000.00 |
| <input type="checkbox"/> Edit | | Guarantee Claim Lodging | PK2GTEC000039427 | PK2GTEC000039427 | Registration | 20-11-11 | PK2 | 001044 | £1,000.00 |
| <input type="checkbox"/> Edit | | Guarantee Claim Lodging | PK2GTEC000039419 | PK2GTEC000039419 | Registration | 20-11-11 | PK2 | 001044 | £76,355.00 |
| <input type="checkbox"/> Edit | | Guarantee Claim Lodging | PK2GTEC000039418 | PK2GTEC000039418 | Registration | 20-11-11 | PK2 | 001044 | £76,355.00 |
| <input type="checkbox"/> Edit | M | Guarantee Advise | PK2GTEA000039414 | PK2GTEA000039414 | Scrutiny | 20-11-11 | PK2 | | £9,000.00 |
| <input type="checkbox"/> Edit | M | Guarantee Issuance | PK2GTEI000039413 | PK2GTEI000039413 | Scrutiny | 20-11-11 | PK2 | 006217 | £1,000.00 |
| <input type="checkbox"/> Edit | | Guarantee Claim Lodging | PK2GTEC000039399 | PK2GTEC000039399 | Scrutiny | 20-11-10 | PK2 | 001044 | £76,355.00 |
| <input type="checkbox"/> Edit | | Guarantee Claim Lodging | PK2GTEC000039398 | PK2GTEC000039398 | Registration | 20-11-10 | PK2 | 001044 | £80,000.00 |
| <input type="checkbox"/> Edit | | Guarantee Claim Lodging | PK2GTEC000039397 | PK2GTEC000039397 | Registration | 20-11-10 | PK2 | 001044 | £76,355.00 |
| <input type="checkbox"/> Edit | | Guarantee Claim Lodging | PK2GTEC000039396 | PK2GTEC000039396 | Registration | 20-11-10 | PK2 | 001044 | £76,355.00 |
| <input type="checkbox"/> Edit | M | Guarantee Amendment | PK2GTEA000039371 | PK2GTEA000039371 | DataEnrichment | 20-11-10 | PK2 | 001044 | £2,000.00 |
| <input type="checkbox"/> Edit | M | Guarantee Advise Amen... | PK2GTA000039364 | PK2GTA000039364 | DataEnrichment | 20-11-10 | PK2 | 001044 | £2,000.00 |

The Data Enrichment stage has three sections as follows:

- Main Details
- Claim Details
- Document Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Main Details

Refer to [Main Details](#).

Claim Details

Refer to [Claim Details](#).

Document Details

As a part of Data Enrichment the user can capture the documents under acclaim and user must be able to input the details if required.

System defaults the document details if documents to be submitted were provided in the Guarantee Issuance, else the user can capture the documents submitted under the claim.

Refer to [Document Details](#)

Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.

Refer to [Additional Fields](#).

Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level.

The screenshot shows the Oracle application interface for 'Guarantee Claim Lodging'. The breadcrumb trail is 'DataEnrichment :: Application No: PK2GTEC000039522'. The left sidebar contains a navigation menu with options: Main, Claim Details, Document Details, Additional Fields, Advices (selected), Additional Details, Settlement Details, and Summary. The main content area displays 'Advices' with a highlighted card for 'Advice : GUA_CLAIM_ADV'. The card details are: Advice Name : GUA_CLAIM_ADV, Advice Party : APP, Party Name : GOODCARE PLC, Suppress : NO, and Advice. At the bottom, there is an 'Audit' button on the left and a row of action buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, and Next. The top right corner shows the user 'JEEVA02' and the date 'Mar 22, 2019'.

Refer to [Advices](#).

Additional Details

The screenshot shows the Oracle application interface for 'Lodge Claim - Guarantee Issued'. The breadcrumb trail is 'DataEnrichment :: Application No:- PK2GTEC000073468'. The top navigation bar includes buttons for Documents, Remarks, Overrides, Customer Instruction, Incoming Message, and View Undertaking. The left sidebar contains a navigation menu with options: Main, Claim Details, Document Details, Additional Fields, Advices, Additional Details (selected), Settlement Details, and Summary. The main content area displays 'Additional Details' in a table format with four columns: Limit & Collateral, Tracer Details, Charge Details, and Preview Message. The data is as follows:

| Limit & Collateral | Tracer Details | Charge Details | Preview Message |
|--|--|---|-----------------------------------|
| Limit Currency : Limit Contribution : Limit Status : Collateral Currency : Collateral : Contribution : Collateral Status : | Tracer Code : GUA_CLM_TRACER Required : No Medium : Frequency : | Charge : Commission : Tax : Block Status : | Language : Preview Message : - |

At the bottom, there is an 'Audit' button on the left and a row of action buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, and Next. The top right corner shows 'Screen (6 / 8)'.

Limits & Collateral

Refer to [Limits & Collateral](#).

Charge Details

Refer to [Charge Details](#)

Tracer Details

The bank users can capture these tracer details for Claim Lodgment in Guarantee and should send the tracers to the customer till its Settled / Extended / Rejected / Injunction.

| Tracer Code | Description | Party Type | Required | Maximum Tracers | Number Sent | Start Days | Last Sent On | Medium | Frequency | Template Id | Action |
|--------------|-------------|--------------|--------------------------|-----------------|-------------|------------|--------------|--------|-----------|-------------|--------|
| GUA_CLM_TRAC | | COLLECTING E | <input type="checkbox"/> | 10 | 11 | 3 | | SWIFT | 3 | | |

Page 1 of 1 (1 of 1 items) < 1 >

[Save & Close](#) [Close](#)

| Field | Description | Sample Values |
|-----------------|---|---------------|
| Tracer Code | Read only field. Tracer code is defaulted by the system maintained in the Product level. | |
| Description | Read only field. Description of the racer code is auto populated. | |
| Party Type | Specify the party type or click 'Search' to search and select the party type from the lookup. | |
| Required | Enable this option, if the respective tracer is required. | |
| Maximum Tracers | Specify the value for maximum number of tracers to be sent. Maximum allowed is 99 exceeding the same system should prompt an error message for the same "Maximum number of numerals allowed is: 2" and should clear the field to enter the correct value by the user. Maximum Tracers cannot be less than the "Number Sent", system needs to validate the same. | |
| Number Sent | Number Sent is defaulted by the System with the value, where the number of tracers sent so far. And it cannot be greater than the "Maximum Tracers". | |
| Start Days | Specify the number of days after which the tracer has to be sent from the Tracer Start date. It should be positive numeric value. | |
| Last Sent On | Read only field. Tracer last sent date is defaulted by the system. | |

| Field | Description | Sample Values |
|-------------|---|---------------|
| Medium | Select the medium in which the Tracer has to be generated. It lists all the possible mediums maintained in the system. The options are: <ul style="list-style-type: none"> • SWIFT MAIL | |
| Frequency | Specify the medium in which the Tracer has to be generated. It should be positive numeric value. | |
| Template ID | Specify the party type or click 'Search' to search and select the template ID in which the tracer has to be generated from the lookup. It is a lookup which lists all the possible templates maintained in the system. Template ID is nothing but the data that goes in Tag 79 in MT799. This template ID is applicable only for medium 'SWIFT' Template lookup displays all the template ids applicable for the given Tracer Code. | |
| Action | Click the Edit icon to edit the tracer details. | |

Preview Message

Based on details captured in the previous screen, the preview message simulated from the back office and the user can view the message.

The screenshot shows a 'Preview Message' window with two panes. The left pane, titled 'Preview - SWIFT Message', has a 'Language' dropdown set to 'English' and a 'Message Type' dropdown. The right pane, titled 'Preview - Mail Advice', has a 'Language' dropdown set to 'English' and an 'Advice Type' dropdown set to 'GOODCARE PLC'. The right pane displays a preview of a 'GUARANTEE CLAIM ADVICE' message with the following details:

```

Branch Name      FLEXCUBE UNIVERSAL BANK
Branch Address 1 Unit 1
Branch Address 2 Block A
Branch Address 3 California
Country          GB
Date             06-MAY-19
PAGE: 1

TO
APPLICANT      GOODCARE PLC
Address 1      12 King Street
Address 2

```

At the bottom right of the window, there are 'Save & Close' and 'Close' buttons.

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Preview SWIFT Message | | |
| Currency | The tax currency is the same as the commission. | |
| Language | Select the language for the SWIFT message. | |
| Message Type | Select the message type. | |

| Field | Description | Sample Values |
|--|--|---------------|
| Preview Advice | Display a preview of the draft message. | |
| Preview Mail Device | | |
| Language | Select the language for the advice message. | |
| Advice Type | Select the advice type. | |
| Message Type | Display a preview of the advice. | |
| Following fields will have values on receipt of customer response. | | |
| Customer Response | User can enter the response received from customer. If the response is received online, the response is auto populated in this field by the system | |
| Customer Remarks | Remarks from the customer for the draft | |
| Response Date | Customer Response received date. | |
| Default Email list | Default email address of the customer. | |
| Add Recipients | Enables to add more recipients for the customer response. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |
| Refer | On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant. | |
| Cancel | Cancel the Guarantee Advice Scrutiny inputs. | |

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Next | Task will get moved to next logical stage of Guarantee Amendment Advise. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request | |
| Back | On clicking the Back, system should move the task to the previous segment. | |
| Request Clarification | User should be able to specify the clarification details for requests received online. | |

Settlement Details

Provide the settlement details based on the description in the following table:

| Component | Currency | Debit/Credit | Account | Account Description | Account Currency | Netting Indicator | Current Event | Original Exchange Rate | Exchange Rate | Deal Reference |
|-------------------|----------|--------------|---------------|---------------------|------------------|-------------------|---------------|------------------------|---------------|----------------|
| AGUIR_COM1_LIQD | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No | | | |
| AGUIR_COM1_LQPP | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No | | | |
| AGUIR_COMM_LIQD | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No | | | |
| AGUIR_COMM_LQPP | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No | | | |
| ARC1_LIQD | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No | | | |
| AVL_SET_LCAMT | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No | | | |
| AVL_SET_LCAMTEQ | GBP | Credit | PK20010440017 | GOODCARE PLC | GBP | No | No | | | |
| CHGTRAMNV_LIQD | GBP | Debit | 152110003 | Domestic Export Sig | GBP | No | Yes | | | |
| CLAIM_CUST_AMT | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No | | | |
| CLAIM_CUST_AMT_FX | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No | | | |

| Field | Description | Sample Values |
|---------------|---|---------------|
| Current Event | The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event. | |
| Component | Components gets defaulted based on the product selected. | |
| Currency | Application displays the default currency for the component. | |
| Debit/Credit | Application displays the debit/credit indicators for the components. | |

| Field | Description | Sample Values |
|------------------------|---|---------------|
| Account | Application Displays the account details for the components. | |
| Account Description | Application displays the description of the selected account. | |
| Account Currency | Application defaults the currency for all the items based on the account number. | |
| Netting Indicator | Application displays the applicable netting indicator. | |
| Current Event | System displays the current event as Y or N. | |
| Original Exchange Rate | System displays the Original Exchange Rate as simulated in settlement details section from OBTF | |
| Exchange Rate | The exchange rate. | |
| Deal Reference Number | The exchange deal reference number. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |

| Field | Description | Sample Values |
|------------------|---|---------------|
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | Cancel the Guarantee Advice Scrutiny inputs. | |
| Next | <p>Task will get moved to next logical stage of Guarantee Amendment Advise.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Back | On clicking the Back, system should move the task to the previous segment. | |
| Incoming Message | Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. | |

Summary

User can review the summary of details updated in Data Enrichment stage for claim logged under Guarantee / SBLC Issued request.

Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system to see the Summary tiles. The tiles must display a list of important fields with values.

ORACLE (DEFAULTENTITY) Oracle Banking Trade Finan May 24, 2021 ZARTAB02 subhem@gmail.com

Lodge Claim - Guarantee Issued
DataEnrichment :: Application No:- PK2GTEC000025224

Clarification Details Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

View Undertaking

Main Screen (8 / 8)

| Summary | | | |
|---|---|---|---|
| Main | Claim Details | Document Details | Additional Fields |
| Booking Date : 2021-05-24 Submission Mode : Desk Amount : null null | Demand Type : New ExpiryDate : Intermediary : | Document 1 : Document 2 : | Click here to view Additional fields : |
| Advices | Limits and Collaterals | Commission, Charges and taxes | Preview Messages |
| Advice 1 : Advice 2 : | Contribution Currency : Contribution Amount : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified | Charge : Commission : Tax : Block Status : Not Initiate... | Language : ENG Preview Message : - |
| Settlement Details | Party Details | Compliance | Accounting Details |
| Component : OTHBNKCHG.LL... Account Number : PK2001044001... Currency : GBP | Beneficiary : MARKS AND SR.. Applicant : GOODCARE PLC Presenting Bank : RABO BANK | KYC : Not Initiate... Sanctions : Not Initiate... AML : Not Initiate... | Event : GCLM AccountNumber : 313100003 Branch : PK2 |
| Tracer Details | | | |
| Tracer Code : GUA_CLM_TRAC... Required : Yes Medium : Frequency : | | | |

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Claim Details - User can view the claim details.
- Documents Details- User can view the Document details.
- Additional Fields - User can view the additional fields.
- Limits and Collaterals - User can view the limits and collateral details. User can modify the details if required.
- Commission, tax and Charges - User can view the details provided for charges. User can modify the details if required.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details - User can view the settlement details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |

| Field | Description | Sample Values |
|------------------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | Cancel the Guarantee Advice Scrutiny inputs. | |
| Next | <p>Task will get moved to next logical stage of Guarantee Amendment Advise.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Incoming Message | Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. | |

Multi Level Approval

This stage allows the approver user to approve a Claim Lodged under Guarantee Issued Transaction.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

In case of MT 765, Approval stage processing is same as in Offline Processing for Guarantee Claim.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Re-Key Authorization

The application will request approval for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Applicant Name
- Beneficiary Name
- Undertaking Currency
- Undertaking
- Amount
- Expiry Date

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number | Amount |
|----------------|----------|--------------------------|--------------------------|--------------------|----------------|------------------|--------|-----------------|------------|
| Acquire & Edit | M | Export Documentary Co... | PK2EDCB000039531 | PK2EDCB000039531 | DataEnrichment | 20-11-11 | PK2 | 001044 | £1,234.00 |
| Acquire & Edit | M | Export Documentary Co... | PK2EDCB000039524 | PK2EDCB000039524 | DataEnrichment | 20-11-11 | PK2 | 001044 | £4,343.00 |
| Acquire & Edit | H | Import LC Issuance | PK2ILCI000039519 | | | 20-11-11 | PK2 | 001043 | £5,000.00 |
| Acquire & Edit | M | Export Documentary Co... | PK2EDCB000039521 | | | 20-11-11 | PK2 | 001044 | £4,413.00 |
| Acquire & Edit | M | ExportDocumentaryColl... | PK2EDCB000039517 | | | 20-11-11 | PK2 | 001261 | £1,000.00 |
| Acquire & Edit | H | Import LC Issuance | PK2ILCI000039511 | | | 20-11-11 | PK2 | 001043 | £10,000.00 |
| Acquire & Edit | M | ExportLC Amendment B... | PK2ELCA000039510 | | | 20-11-11 | PK2 | 001044 | £82,300.00 |
| Acquire & Edit | H | Import LC Issuance | PK2ILCI000039504 | | | 20-11-11 | PK2 | 001043 | £5,000.00 |
| Acquire & Edit | M | Guarantee Advise | PK2GTEA000039506 | | | 20-11-11 | PK2 | | £199.00 |
| Acquire & Edit | M | Guarantee Advise | PK2GTEA000039505 | | | 20-11-11 | PK2 | | £1,000.00 |
| Acquire & Edit | H | Import LC Issuance | PK2ILCI000039501 | | | 20-11-11 | PK2 | 001043 | £10,000.00 |
| Acquire & Edit | M | Import LC Issuance | PK2ILCI000039490 | | | 20-11-11 | PK2 | 001044 | £2,234.00 |
| Acquire & Edit | M | Import LC Issuance | PK2ILCI000039466 | | | 20-11-11 | PK2 | 001044 | £4,425.00 |
| Acquire & Edit | M | Import LC Issuance | PK2ILCI000039473 | | | 20-11-11 | PK2 | 001044 | £5,500.00 |

Summary

ORACLE Free Tasks FLEXCUBE UNIVERSAL BAN... SRIDHAR02
Jan 1, 2014 subham@gmail.com

Summary :: Application Number : 300GTEI000030653 Documents Remarks

| | | | | |
|---|---|--|--|---|
| Main Details Guarantee Type : BILL Submission Mode : Desk Date Of Issue : 2016-01-01 | Guarantee Preferences Click here to view : Guarantee Preference | Local Guarantee Click here to view : Local Guarantee | Party Details Beneficiary : GOODCARE PLC Applicant : MARKS AND Advising Bank : WELLS FARG | Additional Fields Click here to view : Additional fields |
| Charge Charge : Commission : Tax : Block Status : Not Initia | Limits Details Limit Currency : GBP Limit Contribution : 47500 Limit Status : Available Collateral Currency : GBP Collateral Contr. : 2500 Collateral Status : Available | Compliance KYC : Not Initia Sanctions : Verified AML : Verified | | |

Audit Reject Hold Refer Cancel Approve

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Claim Details - User can view the claim details.
- Documents Details- User can view the Document details.
- Additional Fields - User can view the additional fields.
- Limits and Collaterals - User can view the limits and collateral details. User can modify the details if required.
- Commission, tax and Charges - User can view the details provided for charges. User can modify the details if required.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details - User can view the settlement details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Entries - User can view the accounting entries.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|---------|---|---------------|
| Reject | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Refer | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others | |
| Cancel | Cancel the Guarantee Issuance approval. | |
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting. | |

A

| | |
|---------------------------|----|
| Additional Details | 20 |
| Action Buttons | 27 |
| Limits & Collateral | 22 |
| Additional Fields | 14 |
| Action Buttons | 14 |
| Advices | 16 |
| Action Buttons | 17 |
| Application Details | 5 |

B

| | |
|----------------|---|
| Benefits | 1 |
|----------------|---|

C

| | |
|-------------------------------|----|
| Charge Details | 25 |
| Commission | 26 |
| Commission Details | 26 |
| Common Initiation Stage | 2 |
| Action Buttons | 3 |

D

| | |
|---------------------------------|----|
| Data Enrichment | 9 |
| Data Enrichment - Summary | 28 |
| Action Buttons | 29 |

K

| | |
|--------------------|---|
| Key Features | 1 |
|--------------------|---|

L

| | |
|----------------------------|----|
| Limit and Collateral | 21 |
| Collateral Details | 24 |
| Limits Details | 22 |

M

| | |
|----------------------------|----|
| Main Details | 12 |
| Action Buttons | 13 |
| Application Details | 12 |
| Guarantee Details | 12 |
| Miscellaneous | 8 |
| Multi | 31 |
| Multi Level Approval | 31 |
| Action Buttons | 32 |
| Summary | 31 |

O

| | |
|----------------|---|
| Overview | 1 |
|----------------|---|

P

| | |
|----------------------|----|
| Preview | 19 |
| Action Buttons | 19 |

R

| | |
|---------------------------|------|
| Registration | 2, 3 |
| Action Buttons | 9 |
| Application Details | 5 |
| Guarantee Details | 6 |
| Miscellaneous | 8 |

T

| | |
|-------------------|----|
| Tax Details | 27 |
|-------------------|----|

References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Feedback and Support

Oracle welcomes customers' comments and suggestions on the quality and usefulness of the document. Your feedback is important to us. If you have a query that is not covered in this user guide or if you still need assistance, please contact documentation team.